SELF-NEGLECT AMONG OLDER ADULTS: IS IT A 'LIFESTYLE' CHOICE?

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Learning Objectives

Upon completion of this workshop, participants will be able to:

- Understand the scope of the problem and identify risk factors that contribute to self-neglect among older adults
- Understand the theoretical perspectives that guided the study

Learning Objectives

- Understand the lived experiences of study participants
- Implications for social service delivery

Definitions of Self-Neglect

"...an adult's inability, due to physical or mental impairment or diminished capacity, to perform essential self-care tasks including:

- a) obtaining essential food, clothing, shelter, and medical care;
- b) obtaining goods and services necessary to maintain physical health, mental health, emotional well-being, and general safety;
- C) managing one's own financial affairs"

(Elder Justice Act, 2010, p. 785).

Significance of Self-Neglect

Self-neglect is a global public health and human rights issue that threatens older people's health and safety (Dong, 2017).

Significance of Self-Neglect

The changing demographic trends in the United States:

It is projected that by the year 2030, one in five Americans will be those 65 years and is projected to reach 23.5% (98 million) by 2060 (Colby & Ortman, 2014).

Medical and scientific triumphs over infectious diseases and management of chronic conditions-increased longevity

The Scope of Self-Neglect

- Increased use of Emergency Department and risk of nursing home placement
- (Dong, 2017; Dong et al., 2013; Dong et al., 2012).
- Increased hospitalization, increased morbidity and greater rate of 30-day hospital readmission

(Dong et al, 2012; Dong, 2017; Dong & Simon, 2015).

The Scope of Self-Neglect

Self-neglecting older adult more likely to have poor quality of life (Dong, 2017; Lachs et al., 1998; Zhao, 2017).

 High mortality rates among older adults reported to Adult Protective Services

(Dong & Simon, 2012; Reyes-Ortiz et al., 2014).

Proposed Theories About the Etiology of Self-Neglect

Social Breakdown Syndrome:

Stressful life events such as widowhood and deteriorating physical conditions contribute to self-neglect

(Reyes-Ortiz, 2001;Ungvari & Hantz, 1991).

An excellent example of self-neglect as a result of Social breakdown syndrome is documented in the life of Jane, a 78-year-old retired teacher (In Anetzberger, 2005, pp. 47-48).

Chicago Health and Aging Project (CHAP) study suggested that 1 out of 9 older adults experience some form of self-neglect in a community setting (Dong et al., 2012).

A study within a cohort of 3,159 community-dwelling Chinese older adults in Chicago found that the overall prevalence of self-neglect was 29.11%, with 18.24% being categorized as mild and 10.8% as moderate to severe (Dong, 2014).

- Within a cohort of 4,627 older adults, the prevalence of selfneglect by race:
- Black older adults (men 13.2%; women 10.9%)
- White older adults (men 2.4%; women 2.6%)
- 5 domains assessed: personal hygiene, hoarding, house in need of repairs, unsanitary conditions & in adequate utilities

(Dong et al, 2012).

- For those with less than high school education within the same cohort (4,627), the prevalence of self-neglect was 14.7% in men and 10.9% in women.
- For those with annual income less than \$15,000, the prevalence of self-neglect was 21.7% in men and 15.3% in women

(Dong et al., 2012).

Risk Factors that Contribute to Self-Neglect

Empirical Research Findings:

- Executive function is important for planning, initiation, decision-making, organizing and carrying out tasks
- Executive dysfunction (difficulty problem-solving, managing finances, identifying dangerous situations, etc. (Dyer et al., 2007).

Risk Factors that Contribute to Self-Neglect

- Old age
- Living alone
- Untreated medical conditions
- Chronic medical conditions and non-compliance with medications
- Mental illness, Substance abuse/alcohol

Risk Factors that Contribute to Self-Neglect

- Depression, Dementia
- Inadequate social support
- Lower levels of education & economically disadvantaged
- History of trauma
- Severe disability, requiring assistance with ADLs & IADLs

SIGNS THE ELDERLY ARE NEGLECTING THEIR CARE

SIGNS THE ELDERLY CAN NOT CARE FOR THEMSELVES

HOARDING--UNABLE TO CLEAN THEIR HOUSE OR KEEP THINGS NEARBY BECAUSE THEY CAN NOT GET UP TO PERFORM ACTIVITIES

MEDICAL CARE--FAILURE TO TAKE THEIR MEDICATION OR REFUSAL TO SEEK MEDICAL CARE FOR A SERIOUS ILLNESS

MEAL PREPARATION--UNABLE PREPARE HEALTHY MEALS AND WHEN THEY TRY TO THEY MAY LEAVE THE STOVE ON

POOR HYGIENE--NOT TAKING SHOWERS, WEARING DIRTY CLOTHES NOT WEARING APPROPRIATE CLOTHES FOR THE WEATHER

DEHYDRATION--THE ELDERLY FORGET TO DRINK AND HAVE LOST THEIR THIRST SENSATION AND CAN GET CONFUSED

Ethical Considerations

Variety of scenarios when working with older adults who selfneglect including:

- Elements of self-determination, personal independence, lifestyle choices
- Laws and regulations of states statues that protect adults come together. These complexities often require the proverbial wisdom of Solomon (Simmons & O'Brien, 1999, p. 34).

Ethical Considerations/Case Study

- Competence is a legal determination of mental capacity. Legal standards for evaluating capacity are generally based on the patients' ability to:
- Understand relevant information about their condition and proposed treatment
- Appreciate the nature of their situation, including their underlying values and potential consequences of their choice
- Reason about the potential risks and benefits of their choices, and express their choice (Baruth & Lapid, 2017).

Assessment

Assess to determine risk of harm
Assess to determine capacity

Lived Experiences of Older Adults Who were Identified as Self-Neglecting

 Understanding Self-Neglect from the Older Person's Perspective was a qualitative study conducted in 2007.

There were 4 Research Questions:

- What were the lived experiences of the older adults identified as selfneglectful?
- What were the salient issues in the lives of those older persons identified as self-neglectful?

Lived Experiences of Older Adults Who were Identified as Self-Neglectful

Research Questions Cont'd:

How did those older persons experience self-neglect?

What were the meanings of those experiences to the study participants?

Lived Experiences of Older Adults Who were Identified as Self-Neglecting

- Participants were from APS and home health; had multiple unmet needs as defined by APS as "self-neglect" and had willingness to participate
- Sample size (n-12), 2 male and 10 female
- Ranged in age from 73-94, with average age of 81

Sample Risk Factors/signs for Study Participants											
Names	Widowed	Lacked support for higher education	No children	Miscarriage	Son/Family with legal/drug problems	paying	Financial constraints in old age	Caregiver to family prior/during study	Pets/ Hoarding	Divorced	
Ms. Summers	Х	Х	Х			Х	Х	Х			
Ms. Knight				Х						Х	
Ms. Lane	Х				Х				Х		
Ms. Clay	Х	Х				Х	Х	Х			
Ms. Lamb			Х						Х		
Mr. Mova						Х	Х			Х	
Ms. Waters	X		Х				Х		Х		
Mr. Wells					Х	Х	Х			Х	
Ms. Peoples			Х							Х	
Ms. Gardner								Х			
Ms. Roebuck	Х										

Sample Risk Factors/Signs for Study Participants									
Names	Difficulty managing finances	Falls	Chronic medical conditions	Feelings of vulnerability	Difficulty with ADL/IADL	Complaints of physical weakness			
Ms. Summers		X	X		Х	X			
Ms. Knight		X	X	X	Х	Х			
Ms. Lane	Х		X		Х	Х			
Ms. Clay		Х	Х	Х	Х	Х			
Ms. Lamb		Х	Х		Х	Х			
Mr. Movva		Х	Х		Х	Х			
Ms. Waters	Х		Х	Х	Х	Х			
Mr. Wells			Х		Х				
Ms. Peoples			Х		Х	Х			
Ms. Gardner			Х		Х				
Ms. Roebuck			X		Х	Х			
Ms. Flowers	Х		X		Х				

Lived Experiences of Older Adults Who were Identified as Self-Neglecting

Marital status:

- Married-2 Single -1 widowed-5 divorced 4
- Educational attainment varied from four year college education to fourth grade
- Living arrangements: 8 lived alone, with five living in apartments and three living in their homes; the other 4 lived with others in apartments or homes

- Three theoretical perspectives:
- 1. Compliance Theory
- 2. Ecological Theory
- 3. Symbolic Interactionism

- Compliance-the extent to which a patient engages in behaviors consistent with clinical instructions (Dracup & Melesis, 1982).
- Self-neglect and non-compliance have similar concepts as both refer to client's lack of participation in prescribed regimen

(Reed & Leonard, 1989).

In self-neglect, "refusal of services" is more commonly used than noncompliance

Ecological theory-focuses on interactions and the goodness of fit between individuals within their environments

 Where the environment cannot accommodate the changing needs of the older adult, a poor fit will result
(Germain & Gitterman, 1996; Gitterman & Germain, 2008).

Symbolic Interactionism:

- Major social psychological perspective associated with George Herbert Mead & Herbert Blumer (Patton, 2002).
- Focused on the subjective meaning of human behavior how older persons in the study perceived their circumstances (Patton, 2002).

Data Collection & Research Instrument

- Semi-structured one time face-to-face interviews, researcher observations, and field notes
- Multiple case study designed was used
- Interview was audio-taped, transcribed verbatim and analyzed

Research Findings

Although the Kutame study was conducted in 2007, however, it was an important study and the second known to interview older adults about their experiences with selfneglectful. The first known study was Bozinovski (1995; 2000). Since 2007, other researchers (Day, 2012; Lien et al., 2016) have conducted similar studies and found common themes as in the previous two studies

Findings From The Study

Ten themes emerged from the analyzed data:

- 1. Experience of living with a medical/health condition
- 2. Perception of health and medical care seeking behavior
- 3. Sense of mistrust
- 4. Difficulty with activities of daily living/instrumental activities of daily living
- 5. Lack of adequate resources/services

Findings From The Study

- Themes cont'd:
- 6. Pride in self-sufficiency
- 7. Good and helpful to others
- 8. Unfulfilled dreams
- 9. Connectedness to places and things
- 10. God, prayer, and coping mechanisms

Narratives From The Themes

Experience of living with a medical/health condition

Here, Mr. Movva commented on his experience with arthritis:

...then my hand be tired and weak and everything drop. Everything I take in my hand, I get in my hand, it fell out of my hand. I can hardly hold a glass of water, you know. I have to hold it with both of my hands.

Narratives From The Themes

Perception of health & medical care seeking behavior:
Ms. Gardner had this to say about her health seeking behavior-

"...It's not necessary to see a doctor every year. Medicare doesn't pay for all that stuff any how so why bother if you don't have to? ... What the heck?...Never went to doctors ...never went to see doctors unless I was pregnant and that was it."

Mistrust of health care professionals, which included skepticism about prescribed medications, recommended medical regimen, and subtheme that focused on relationships with friends and family. Many participants also felt vulnerable and tried to protect themselves and maintain control in their lives (Kutame, 2007).

Sense of mistrust cont'd:

Mr. Movva shared he was previously receiving physical and other therapies, however, had stopped because he questioned whether the therapy was helping:

...They come out here whole year taking therapy...therapy don't do nothing either and the people say, I don't want to do therapy. I want to do therapy but they ain't going to help...

Difficulty with ADL/IADL Cont'd:

Ms. Clay described having difficulty with combing her hair.

"...I have trouble combing my hair. Getting my arms up in the air, you know. Sometimes I have to take one hand that push my arm up like this to get to combmy hair. I got awful bad up here, my shoulders here... my back and my legs give way on me if I do something I know that I'm not supposed to do...."

Difficulty with ADL/IADL Cont'd:

Most participants talked about their eating habits and food preferences, in various forms. One participant said she could have Meals on Wheels, but added, "I don't care for their food. Some participants shared they were eating once a day because they felt no hunger. One participant said she would go all day until evening before feeling hungry when she would "open up some real good can soup...or cook potatoes, or somethings that's quick, to get done. Something's that you put in the microwave and cook" (Kutame, 2007).

Difficulty with ADL/IADL Cont'd:

Multiple factors affected those older persons' decisions about diet and nutrition.

Some had denture problems. Others lacked an appetite, perhaps because of psychological and/or emotional reasons as in the situation of one participant who had lost significant amount of weight, which she attributed to the change in her appetite, not feeling hungry and not having a good appetite. This participant was facing foreclosure on her home.

(Kutame, 2007)

■ Lack of adequate social resources/services:

This theme focused on participants' inadequate resources and lack of services to help them maintain their support system and to pay for heat to keep the homes warm, their prescription medications, and home repairs.

Ms. Clay was on the energy assistance program, but claimed that the program hardly helped her. She also wanted a haircut, but she was to pay the \$7.00 it would cost to have this done (Kutame, 2007).

 Difficulty with activities of daily living/instrumental activities of daily living:

Participants struggled to complete ADL/IADL. Below, Ms. Lamb described her difficulties completing chores.

"It's depressing, it's depressing, because I can't. I want to do and I can't, that's the thing. I sit here and see the dirt and the mess. I would like to jump up and do it but I can't... The sores on my feet are stopping me. The sores on my feet are stopping me...

Lack of adequate resources/services cont'd:

In the study, five women were widows, and two had multiple marriages. One widowed participant expressed bitterness at the extra-marital affairs her husband had prior to his illness and death, the majority of the widows shared they had experienced good marriages, but their circumstances at the time of the study were due in part to their husband's death

Lack of adequate resources/services cont'd:

Some of the widowed participants missed their husband's physical presence, but also confronted reduced social and economic resources and lifestyle changes. Some had to relocate; these were major challenges that they had to face alone for the first time in their lives.

(Kutame, 2007).

Pride in self-Sufficiency:

Sometimes it is difficulty for professionals and service providers to understand why some seniors who need services are reluctant to ask for help and hesitantly accept assistance. One reason for their resistance is due to their sense of pride. Under this theme, there were three major issues: (1) values learned from their parents such as you "don't' be scared and don't' go out and beg"; (2) doing a good job and maintaining self-sufficiency; and (3) avoiding assistance from agencies and service organizations (Kutame, 2007).

Pride and self-sufficiency Cont'd:

Ms. Lane shared values she learned from her mother:

...That's the way my mother brought me up, don't go out there and beg. You don't beg for nothing unless you really down and out..."

Good and helpful to others:

Another important theme that emerged from this study was that participants perceived themselves as good and helpful to family, friends, and others who were in need. Mr. Wells commented that he bought a lot for his mother when he was younger:

"When I was young I used to buy my mom a lot of stuff. I used to you know, go to the store and what she likes, I used to bring stuff like that. You can tell a person how they take care of their parents..."

Unfulfilled Dreams

Most participants experienced unfulfilled dreams, though they varied in their descriptions. For the most part, the participants' dreams varied from wishes about getting a good education to working a well-paid employment to having a baby.

Unfulfilled Dreams Cont'd:

Ms. Summer shared her experience of lack of opportunity for formal education.

"I just wish that I could have went to school and ...I would have a better...have had a better job. I would have made more money...I always wanted to be a nurse...I wanted that job but I couldn't do it because I didn't have an education..."

Unfulfilled Dreams Cont'd:

Ms. Summer also described how she wanted to have a baby but she never got pregnant.

"...never had no children and I always want them so bad, never got pregnant, ...I always wanted a baby. I wanted to rock a baby, but never have them..."

Connectedness to places and things

Participants' connectedness to their environments emerged as another major theme in this study. Some of the participants wanted to remain in environments where they had always resided even when their safety and well-being were at risk.

(Kutame, 2007).

Connectedness to places and things cont'd:

Here was how Ms. Summer shared her thoughts on this theme.

"...I just do the best I can and I will make it...I will keep on trying, trying to do cause I'll never gonna, never, never. I may have to do it but I don't never want to go to a nursing home, no..."

■ God, prayer, and coping mechanisms

Spirituality came through as a significant theme for almost all of the participants.

Here was what Ms. Lamb shared about her spiritual beliefs:

"I believe in God, in prayer, that's the one thing that will take us through. The good Lord won't give you any more chores any more than you can bear."

Limitations of the study

The qualitative nature of this study poses limitations on its findings, however, remain significant and add to our understanding of the perspectives of older adults identified as self-neglectful

Unmet Needs & Service Refusal

Unmet needs are at the core of self-neglect (Longres, 1994, Rathbone-McCuan, 1992). However, service delivery professionals also know that service refusal is a hallmark of selfneglecting older adults

Factors/Characteristics that Contribute of Self-neglect

The following factors & characteristics have been found to contribute to service refusal: older persons near death, despairing older adults, older adults despaired due to depression or anger, those who deny the existence of a problem or had disabilities, mistrusting older persons (Dubin et al., 1988), pride (Dubin et al., 1988; Kutame, 2007), shame (Kutame 2007), fear, embarrassment, and lack of understanding (Ramsey-Klawsnik, 2006)

A Call to Action

Given the severe consequences of refusal of needed services, social workers must develop effective intervention strategies that vulnerable older adults will accept

(Kutame, 2007).

Best Practice Intervention Guidelines

- No Cookie Cutter intervention strategy
- Go slowly to establish rapport, with the goal of reducing isolation (Dayton, 2006).
- Recognize and respect the strong drive for independence and continuity (Dayton, 2006).

Best Practice Intervention Guidelines

- Be creative to make a connection to the client's sense of self and dignity, knowing the usual approaches may not work (Dayton, 2006).
- Geared efforts toward risk reduction, resolving the immediate crisis, and establish long-term stability (Ramsey-Klawsnik, 2006).

Intervention: Best Practice Guidelines

- The older person's perspective, coping strategies, and underlying factors that lead to the behavior must be incorporated into working with them (Day, 2012, Kutame, 2007; Mixson, 1991).
- Explore alternatives, fear of change may be an issue so explaining that there are alternative ways forward may encourage the person to engage

Intervention: Best Practice Guidelines

Maintain patience when working with self-neglecting older persons because months or years may pass before they might accept help (Clark, 1975).

 Create a collaborative interdisciplinary team approach (Dayton, 2006; Dyer & Goins, 2000).

Summary & Conclusion

- No two self-neglecting older adults are the same, though there may be some common patterns
- The older adults who participated in this study did not identify as self-neglecting
- Most of them believe they do their "best to care" for themselves, though their best might not be enough

Summary & Conclusion

- In caring for themselves, they "let other things go"
- Underneath their traumatic experiences some of them will say that they are good people
- We must see the humanity in them and act compassionately