



National Adult Protective Services Association
Elder Financial Exploitation Advisory Board

BUILDING BRIDGES TO JUSTICE

10TH ANNUAL NATIONAL
FINANCIAL ABUSE SUMMIT

August 22, 2019

Omni Interlocken Resort | Denver, CO

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We proudly support the National Adult
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elder community nationwide.



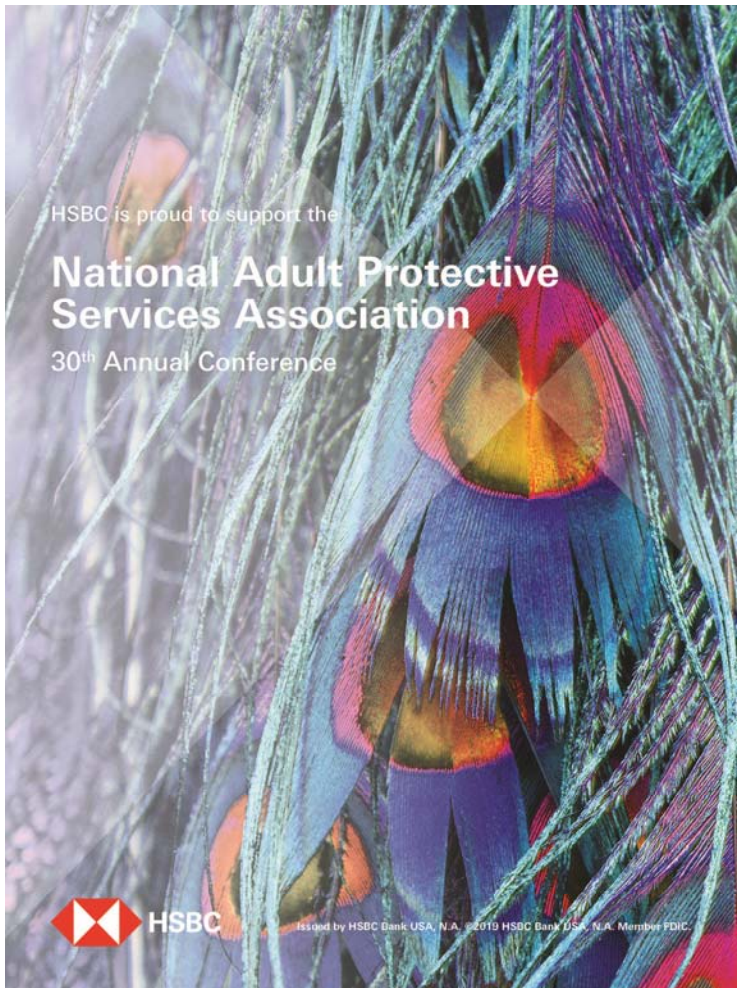
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SENIOR INVESTOR PROTECTION - SIFMA VIEWS AND ACTION

More than half of older Americans utilize financial advisors, and SIFMA is committed to finding solutions that help those advisors protect their senior investors from exploitation and fraud. SIFMA has been working with industry members, academics, and state and federal lawmakers to advance policies, practices, rules, regulations, and laws to enhance senior investor protections.

SIFMA'S SENIOR INVESTOR ADVOCACY PLAN

 ADVANCE	 PROMOTE	 ADVOCATE
 SECURE	 COLLABORATE	 CONVENE

Be a Fraud Fighter



The FINRA Foundation's **Fraud Fighter Toolkit** offers free research and resources you can use to fight financial fraud in your community.



Visit the **FINRA Foundation's**
SaveAndInvest.org for:

- ▶ Research on financial fraud
- ▶ Tools and tips for fraud fighters
- ▶ Ways to report financial scams
- ▶ Free consumer handouts



10th Annual NAPSA

Summit on Elder Financial Exploitation

8:30 AM -9:00 AM	Continental Breakfast
9:00 AM – 9:15 AM	<p>Welcome</p> <p>Betty Malks NAPSA Financial Exploitation Advisory Board and Summit Chair</p>
9:15 AM –10:00 AM	<p>Keynote Address</p> <p>Antoinette T. Bacon Associate Deputy Attorney General & National Elder Justice Coordinator</p> <p>Deputy Bacon will expound on the Department of Justice’s vision of the future of elder justice, give attendees a report from the ground on the progress of the Elder Abuse Protection and Prosecution Act (EAPPA) and talk about the successes of and challenges facing the Elder Justice Coordinators.</p>
10:00 AM -10:15 AM	<p>DOJ’s Elder Abuse Protection and Prosecution Act in Action</p> <p>Judith Kozlowski, Elder Justice Consultant</p> <p>Ms. Kozlowski, will discuss ongoing training for federal law enforcement.</p>
10:15 AM-1100 AM	<p>FINRA Rules: One Year Later</p> <p>Moderator: Gerri Walsh, President, FINRA Investor Education Foundation, and Senior Vice President, FINRA Office of Investor Education</p> <p>Jim Wrona, Vice President and Associate General Counsel, FINRA</p> <p>Mark Quinn, Director of Regulatory Affairs, Cetera Financial Group</p> <p>Erin Linehan, Head of Senior & At-Risk Clients, Raymond James</p> <p>Does this scenario sound familiar? A senior investor suddenly asks his broker to withdraw all the funds from his brokerage account. The request is out of character for the investor, raising worrying questions in the broker's mind. As part of its ongoing effort to thwart financial exploitation, FINRA adopted rules that went into effect in February 2018 that allow brokers to take steps to protect seniors and other specified adults. This panel will review these rules—one year into implementation—and explore the challenges faced by regulators and financial services firms in protecting senior investors.</p>

10th Annual Summit on Elder Financial Exploitation

11:00 AM– 11:30 AM	<p>Financial Security and Cognitive Health</p> <p>Dr. Eric Chess, Director of the <i>Financial Security and Cognitive Health Initiative</i>, Knoebel Institute for Healthy Aging, University of Denver.</p> <p>As our population ages, concerns regarding financial security and cognitive health loom large. Dr. Chess will define the challenges we face, and explore the connection between our brains and our wallets.</p>
11:30 AM– 12:15 PM	<p>Money Safety for Seniors</p> <p>Sam Kunjukunju, Director of Bank Community Engagement, ABA Foundation Scott Russo, Senior Vice President, Bank of America Ben Joergens, Director of Financial Empowerment, VP, Old National Bank</p> <p>The United States is experiencing an unprecedented increase in both the population of older Americans and the immense criminal scams they face. Between 2014 and 2017, Suspicious Activity Reports (SARs) related to elder financial exploitation tripled as abused seniors lost an average of \$34,200. With the growing threat to older Americans, banks across the country are working to protect their older consumers. Join us for a discussion featuring a newly crafted bank program titled, Money Safety for Seniors, designed to keep seniors safe from fraud. The presentation will look at core aspects of the specific program and will also review national data, available through the American Bankers Association Foundation, on the ways banks educate their older customers, train staff to identify elder fraud and respond to fraudulent activity.</p>
12:15 PM– 12:30 PM	<p>Newly Expanded Records Request Form</p> <p>Kathleen Quinn, NAPSA Board President</p> <p>Ms. Quinn will provide an update on development of forms being used by APS to request records from financial institutions.</p>
12:30PM – 12:40 PM	<p>From a Reporter's Perspective</p> <p>Yuka Hayashi, Reporter, Wall Street Journal</p> <p>Ms. Hayashi will discuss what she has learned from the conference and summit, identifying potential stories and how to get reporters interested in writing them.</p>
12:40-1:30	<p>Networking Lunch</p>

Thank you to our outstanding Advisory Board members who generously contribute their time, expertise and financial support toward the Annual Financial Exploitation Summit.

Elder Financial Exploitation Advisory Board

Betty F. Malks - Chairperson

Bill Benson - Principal, Health Benefits ABCs; National Policy Advisor, NAPSA

Lisa Bleier - Managing Director and Associate General Counsel, SIFMA

Joseph V. Brady - Executive Director, North American Securities Administrators Association, Inc. (NASAA)

Gary S. Brown - Assistant Attorney General, NY State Office of the Attorney General

Jean Callahan - Attorney-in-Charge, Legal Aid Society - Brooklyn Neighborhood Office

Prescott Cole - Senior Attorney, CANHR (California Advocates for Nursing Home Reform)

Corey Carlisle - Senior Vice President, American Bankers Association and Executive Director, ABA Foundation

Lori Delagrammatikas- Executive Director, NAPSA

Lara Hinz - Director of Programs, Women's Institute for a Secure Retirement (WISER)

Jason Karlawish - Professor of Medicine, University of Pennsylvania; Director, Penn Healthy Brain Research Center

Judith Kozlowski – Consultant

Beatrix Lam-Hom - Triage Manager, Financial Crimes Investigations, HSBC North America

Liz Loewy - Co-Founder & COO, EverSafe

Philip Marshall - Consultant

John Migliaccio - Consultant

Michael Schidlow - Senior Manager, HSBC Bank USA

Joe Snyder - Elder Financial Exploitation Consultant

Mary Shae Tucker - Manager, Elder Client Initiatives, Wells Fargo

Kathleen Quinn - President, NAPSA Board of Directors

Mission Statement

The mission of the National Adult Protective Services Association (NAPSA) is to strengthen Adult Protective Services programs in order to improve the safety and independence of older persons and adults with disabilities who are victims of abuse, neglect, self-neglect, or financial exploitation.

About the Elder Financial Exploitation Advisory Board

The NAPSA Elder Financial Exploitation Advisory Board (EFEAB) was created to plan NAPSA's annual Elder Financial Exploitation Summit and to work to promote awareness of elder financial abuse for elder law practitioners, policy makers, law enforcement personnel, and the financial services industry. The EFEAB seeks out innovative ways to expose and combat abusive practices. Members of the EFEAB Advisory Board, leaders in their respective fields, are committed to working toward the eradication of elder financial abuse. The EFEAB functions as an advisory board to the NAPSA Board of Directors.



The NAPSA Elder Financial Exploitation Advisory Board wants to hear from you what its priorities should be!

Please visit the link below on your computer or mobile device and complete the brief survey **during** the event.

Results will be discussed at the end of the day.

www.napsa-now.org/survey

About the National Adult Protective Services Association

The National Adult Protective Services Association (NAPSA) is a national non-profit 501(c)(3) organization with members in all fifty states. Formed in 1989, the goal of NAPSA is to provide Adult Protective Services (APS) programs a forum for sharing information, solving problems, and improving the quality of services for victims of elder and vulnerable adult mistreatment. Its mission is to strengthen the capacity of APS at the national, state, and local levels, to effectively and efficiently recognize, report, and respond to the needs of elders and adults with disabilities who are the victims of abuse, neglect, or exploitation, and to prevent such abuse whenever possible.

NAPSA Staff & Primary Consultants

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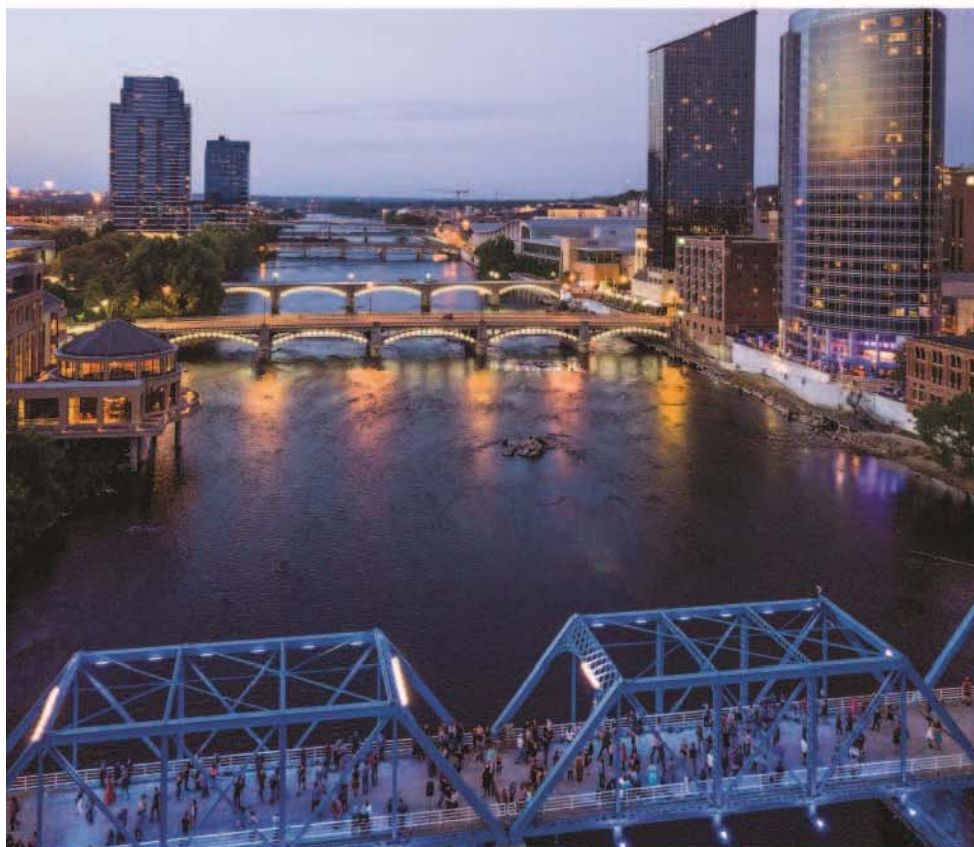


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Save the Date!

**11th Annual Summit on
Elder Financial Exploitation**

November 19, 2020

Amway Grand Plaza | Grand Rapids, Michigan

www.napsa-now.org/conference

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