



National Adult Protective Services Association | Elder Financial Exploitation Advisory Board

8th Annual Summit on Elder Financial Exploitation

From the Ground Up: Strengthening our Capacity to Protect Seniors

August 31, 2017

Hilton City Center | Milwaukee, WI

Crystal Ballroom



**NATIONAL ADULT PROTECTIVE
SERVICES ASSOCIATION**



Support NAPSA on Amazon Smile

When you shop at
**smile.amazon.com, Amazon do-
nates.**

**[smile.amazon.com/
ch/30-0044497](https://smile.amazon.com/ch/30-0044497)**

We are Proud to Support the

**National Adult Protective Services
Association**



Issued by HSBC Bank USA, N.A. ©2016 HSBC Bank USA, N.A. Member FDIC.

0270 21001



**SENIOR INVESTOR
PROTECTION - SIFMA
VIEWS AND ACTION**

More than half of older Americans utilize financial advisors, and SIFMA is committed to finding solutions that help those advisors protect their senior investors from exploitation and fraud. SIFMA has been working with industry members, academics, and state and federal lawmakers to advance policies, practices, rules, regulations, and laws to enhance senior investor protections.

SIFMA'S SENIOR INVESTOR ADVOCACY PLAN

 ADVANCE	 PROMOTE	 ADVOCATE
 SECURE	 COLLABORATE	 CONVENE

Be a Fraud Fighter



The FINRA Foundation's **Fraud Fighter Toolkit** offers free research and resources you can use to fight financial fraud in your community.



Visit the **FINRA Foundation's**
SaveAndInvest.org for:

- ▶ Research on financial fraud
- ▶ Tools and tips for fraud fighters
- ▶ Ways to report financial scams
- ▶ Free consumer handouts



SaveAndInvest.org

8th Annual NAPSA

Summit on Elder Financial Exploitation

9:00 AM – 9:15 AM	Welcome Betty Malks , NAPSA Financial Exploitation Advisory Board and Summit Chair	
9:15 AM – 10:15 AM	The Justice Our Victims Deserve Paul Greenwood , Deputy District Attorney, San Diego District Attorney A lively, interactive session on prosecuting elder abuse in the courts	
10:15 AM – 10:30 AM	Break	
10:30 AM – 11:30 AM	<i>Useful Lessons from the World of Research</i> Cognitive, Emotional and Social Dimensions of Inter-personal Vulnerability in Older Adulthood R. Nathan Spreng , PhD, Cornell University, College of Human Ecology A Broad Typology to Understand Elder Financial Exploitation David Burnes , PhD, University of Toronto	
11:30 AM – 12:00 PM	We're From the Government and We Really Are Here to Help M. Owen Donley , Chief Counsel, Securities & Exchange Commission, Office of Investor Education and Advocacy Michael Herndon , Deputy Assistant Director, Consumer Financial Protection Bureau, Office for Older Americans	
12:00 PM – 1:15 PM	Lunch (provided) Presentation of the Barbara McGinity Service to Seniors Award	
1:15 PM – 1:45 PM	Building Bridges to Wealth: Wharton School of Business' Financial Abuse Prevention Program Keith Weigelt , PhD, MBA, Professor, Wharton School of Business Jill Bazelton , PhD, Program Director, Bridges to Wealth	

8th Annual NAPSA

Summit on Elder Financial Exploitation

1:45 PM – 2:30 PM	Building Bridges to Bankers Iris Freeman, Chair, Minnesota Elder Justice Center Beatrix Lam-Hom, Triage Manager, HSBC North America Ron Long, Senior Vice President, Wells Fargo Advisors
2:30 PM – 3:15 PM	Investigating Potential Exploitation: Balancing Autonomy and Protection Kyle Innes, Assistant Vice President, SIFMA Tara Patet, Supervising Attorney, Special Victims Unit, St. Paul, MN City Attorney Kathleen Quinn, Senior Advisor, NAPSA
3:15 PM – 3:30 PM	Break
3:30 PM – 4:15 PM	<i>Advanced Elder Financial Exploitation Investigation Tools</i> Investigating, Prosecuting and Recovering Assets Richard Albrecht, Institute on Protective Services How Forensic Accountancy Can Help Douglas Cash, MBA, CFE, CFI, CFCI, Forensic Accountant, Eide-Bailly
4:15 PM – 4:25 PM	NIEFE: Bringing Everyone Up to Date Joe Snyder & Kathleen Quinn, Board Members, National Institute for Elder Financial Exploitation
4:25 PM – 4:50 PM	Action Plan – Group Discussion Bill Benson, NAPSA National Policy Advisor
4:50 PM – 5:00 PM	Wrap-Up & Closing Betty Malks, NAPSA Financial Exploitation Advisory Board and Summit Chair

Thank you to our outstanding Advisory Board members who generously contribute their time, expertise and financial support toward the Annual Financial Exploitation Summit.

Elder Financial Exploitation Advisory Board

Betty Malks - Chairperson

- **Robert Anderson** - President, NAPSA Board of Directors
- **Bill Benson** - Principal, Health Benefits ABCs; Policy Director, NAPSA
- **Lisa Bleier** - Managing Director and Associate General Counsel, SIFMA
- **Joseph V. Brady** - Executive Director, North American Securities Admins Association, Inc. (NASAA)
- **Gary S. Brown** - Assistant Attorney General, New York State Office of Attorney General
- **Jean Callahan** - Attorney-in-Charge, Legal Aid Society - Brooklyn Neighborhood Office
- **Prescott Cole** - Senior Attorney, California Advocates for Nursing Home Reform
- **Trudy Gregorie** - Executive Director, National Adult Protective Services Association
- **Lara Hinz** - Director of Programs, Women's Institute for a Secure Retirement
- **Jason Karlawish** - Professor of Medicine, University of Pennsylvania
- **Judith Kozlowski** – Consultant
- **Beatrix Lam-Hom** - Triage Manager, Financial Crime Investigations, HSBC North America
- **Liz Loewy** – General Counsel & Senior Vice President of Industry Relations, EverSafe
- **Philip Marshall** - Consultant
- **John Migliaccio** - Consultant
- **Kathleen Quinn** - Senior Advisor, National Adult Protective Services Association
- **John Skoglund** – Senior Manager, Western Union
- **Michael Schidlow** – Senior Manager, HSBC Bank USA
- **Joe Snyder** - Older Adult Protective Services Director, Philadelphia Corporation for Aging
- **Mary Shae Tucker** - Manager, Elder Client Initiatives at Wells Fargo Advisors
- **Gerri Walsh** – President, FINRA Investor Education Foundation

Mission Statement

The mission of the National Adult Protective Services Association (NAPSA) is to strengthen Adult Protective Services programs in order to improve the safety and independence of older persons and adults with disabilities who are victims of abuse, neglect, self-neglect, or financial exploitation.

About the Elder Financial Exploitation Advisory Board

The NAPSA Elder Financial Exploitation Advisory Board (EFEAB) was created to plan NAPSA's annual Elder Financial Exploitation Summit and to work to promote awareness of elder financial abuse for elder law practitioners, policy makers, law enforcement personnel, and the financial services industry. The EFEAB seeks out innovative ways to expose and combat abusive practices. Members of the EFEAB Advisory Board, leaders in their respective fields, are committed to working toward the eradication of elder financial abuse. The EFEAB functions as an advisory board to the NAPSA Board of Directors.



The NAPSA Elder Financial Exploitation Advisory Board wants to hear from you what it's priorities should be!

Please visit the link below on your computer or mobile device and complete the brief survey during the event.

Results will be discussed at the end of the day.

www.napsa-now.org/survey

About the National Adult Protective Services Association

The National Adult Protective Services Association (NAPSA) is a national non-profit 501(c)(3) organization with members in all fifty states. Formed in 1989, the goal of NAPSA is to provide Adult Protective Services (APS) programs a forum for sharing information, solving problems, and improving the quality of services for victims of elder and vulnerable adult mistreatment. Its mission is to strengthen the capacity of APS at the national, state, and local levels, to effectively and efficiently recognize, report, and respond to the needs of elders and adults with disabilities who are the victims of abuse, neglect, or exploitation, and to prevent such abuse whenever possible.

NAPSA Staff & Primary Consultants



Trudy Gregorie
Executive Director



Andrew Capehart
Assistant Director



Holly Ramsey-Klawnsnik, PhD
Director of Research



Carol Carlton
Consultant



Bill Benson
National Policy Advisor



Kendra Kuehn
Policy Analyst



Save the Date!

2018 NAPSA Conference

August 28 - August 30, 2018

**9th Annual Summit on Elder Financial Exploitation
August 31, 2018**

Anaheim, CA | Hyatt Regency Orange County

www.napsa-now.org/conference



HELP PROTECT YOURSELF FROM FRAUD

Never send money to someone you haven't met in person and confirm emergency situations are real before sending money.

If you believe that you are a victim of fraud, call the Western Union Fraud Hotline at 1-800-448-1492.

Follow us:

www.wu.com/fraudawareness

 [WUStopFraud](https://twitter.com/WUStopFraud)

 facebook.com/WesternUnion

#BeFraudSmart
THIS IS WU

**WESTERN
UNION** **WU**

moving money for better

MOBILE | ONLINE | AGENT LOCATION