



OFFICIAL REQUEST FOR CUSTOMER FINANCIAL RECORDS

NORTH DAKOTA DEPARTMENT OF HUMAN SERVICES

AGING SERVICES DIVISION

SFN 874 (4-2017)

Pursuant to Graham-Leach-Bliley Act (GLBA) (15 U.S.C. §6802(e)(8); and 15 U.S.C. §6802(e)(3)(8)):

Name of Vulnerable Adult Protective Services Worker

I, the above-named, operating under the laws North Dakota, am conducting an authorized investigation of alleged financial exploitation of a vulnerable adult as defined in North Dakota Century Code 50-25.2.

I hereby request the following records:

Records From
For All Accounts Relating To
For the Period From: _____ To: _____
Records to Include (check all that apply): <input type="checkbox"/> Bank statements for ALL accounts, including checking, savings, money market, certificates of deposit <input type="checkbox"/> Copies of all checks and withdrawals from the account(s)(front and back) including offsets <input type="checkbox"/> Copies of all deposits and deposit items <input type="checkbox"/> Customer Profile showing all accounts, including opening and/or closing dates <input type="checkbox"/> All Power of Attorney documents and signature cards <input type="checkbox"/> Statements for any loans, lines of credit, or credit cards and copies of any cash advances <input type="checkbox"/> Other (specify): _____
Provide Records on or Before this Date:

Signature		Telephone Number	
Title	Email Address		
Agency			
Address	City	State	ZIP Code

STATUTORY AUTHORIZATION

Graham-Leach-Bliley Act 15 U.S.C. §6802 - Obligations with respect to disclosures of personal information

(e) General exceptions

Subsections (a) and (b) of this section shall not prohibit the disclosure of nonpublic personal information- (3)(B) to protect against or prevent actual or potential fraud, unauthorized transactions, claims, or other liability; (8) to comply with Federal, State, or local laws, rules, and other applicable legal requirements; to comply with a properly authorized civil, criminal, or regulatory investigation or subpoena or summons by Federal, State, or local authorities; or to respond to judicial process or government regulatory authorities having jurisdiction over the financial institution for examination, compliance, or other purposes as authorized by law.

2013 Federal Interagency Guidance

On Privacy Laws and Reporting Financial Abuse of Older Adults

This guidance was signed by eight major federal agencies: the Board of Governors of the Federal Reserve System; Commodity Futures Trading Commission; Consumer Financial Protection Bureau; Federal Deposit Insurance Corporation; Federal Trade Commission; National Credit Union Administration; Office of the Comptroller of the Currency; and the Securities and Exchange Commission.

The guidance sets forth the statutory GLBA language provided above regarding the specific exceptions to consumer privacy for the purpose of preventing or stopping fraud and/or to comply with a properly authorized civil, criminal or regulatory investigation by Federal, State or local authorities OR subpoena.

North Dakota Century Code

50-25.2 Vulnerable Adult Protective Services

50-25.2-12. Confidentiality of records - Authorized disclosures.

All reports made under this chapter and all records and information obtained or generated as a result of the reports are confidential, but must be made available to:

- Authorized staff of the department or the department's designee, law enforcement agencies, and other agencies investigating, evaluating, or assessing the report or providing adult protective services.