

State of Adult Protective Services Baseline Assessment - 2012

Response ID: 173 Data

1. State of Adult Protection Services Baseline Assessment

1. Respondent Information

Name of person completing this assessment : Sandi Koll

Title of person completing this assessment : Program Manager, Adult Protective Services

State : Iowa

Email Address : skoll@dhs.state.ia.us

Telephone Number : 515-281-5392

2. APS Administrator Information

3. Where is your APS Program administratively located?

Is one program in a larger state agency

4. 3a) Please describe other, or add any clarifying comments on how your APS program fits within your state government:

The same workers evaluate dependent adult abuse and child abuse. In higher populated areas there area few dedicated dependent adult abuse workers.

4. To whom does the APS Administrator report?

A subordinate of the above named agency director

5. How is APS administered in your state?

State administered (APS employees are all state employees)

7. 5a) If county-administered, which county agency administers APS?

8. 5b) If county or locally administered, does the State APS Office have oversight responsibility for local APS? For example, does the state set policy/ provided the training and/or monitor local APS services?

6. How many full-time state positions are in the APS program: (please provide full-time equivalents (FTEs), so if you have three half-time intake specialists who only accept reports for APS, you would state that you have 1.5 full-time intake positions). "Investigators/Caseworkers" refers to your APS field staff who work directly with clients; different states use different terms.

	Number of FTEs
State Administrative Staff	1
State Training Staff	1/6th of 1 person
Supervisors	2
Investigators/Caseworkers	15
Intake Staff	
IT Staff	1/8th of a person
Legal Staff	1/4th of a person
Other	

7. Is this an increase or decrease from the past 5 years?

Increase

11. 7a) If increased, by what percentage (approximately):

40

12. 7b) If decreased, by what percentage (approximately):

8. Do the staff listed below work in APS only?

	Yes	No	N/A
State Administrative Staff		✓	
State Training Staff		✓	
Supervisors		✓	
Investigators/Caseworkers		✓	
Case Workers			
Intake Staff		✓	
IT Staff		✓	
Legal Staff		✓	
Other			

14. 8a) If no, what other programs do they work in?

CPS

Other

9. What, if any, are the minimal education requirements for each position?

	Minimal Education Requirements
State Admin. Staff	College Degree
State Training Staff	College Degree
Supervisors	College Degree
Investigators/Caseworkers	College Degree
IT Staff	
Intake Staff	College Degree
Legal Staff	JD
Other	

10. If your system is state administered, do you provide APS field staff with the following:

	Yes	No
Smart phones (iPhone, Blackberry, Android)		✓
Cell phones (phone only)		✓
Laptops or tablet PCs	✓	
State vehicles to use for work	✓	

17. 10a) If state vehicles are not provided, are workers reimbursed for work related mileage (or public transportation fares)?

Yes

18. 10b) If yes, what is the current mileage reimbursement rate? (\$ /mi.)

34 cents per mile

11. Does your APS Program have a case review quality assurance system in place?

No

20. 11a) If yes, are all cases reviewed (check all that apply)?

12. Please provide the contact person who can provide more information about the quality controls measures

13. Does APS have regular, case level access to expertise/consultation from:

	Yes	No
Attorneys	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Physicians	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Physician Assistants and/or Nurses	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Mental Health Professionals	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Forensics	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Accountants	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>	<input checked="" type="checkbox"/>

14. If other, please specify:

They do consult with all of the above, but they do not have specific persons available just for that purpose

15. Does your APS program track annual staff turnover rates?

No

25. 15a) If yes, please provide any additional information you can:

16. Does the Program have case performance measures (benchmarks/metrics) in place (e.g. timeliness of response; supervisor involvement; recidivism rates)?

No

2. Scope of APS

17. What is the age range for eligible clients?

18+

28. 17a) For clients aged 60+ or 65+ only, must the alleged victim be defined as vulnerable before APS can open the case or is anyone 60 years and older eligible for APS?

18. Your APS Program is responsible for abuse investigations in (check all that apply):

Community Settings
Care Homes/Board Homes

19. Does APS petition for guardianship in your state?

Yes

20. Do APS employees serve as guardians?

No

21. Does your APS program serve as representative payee for Social Security/Railroad Retirement client benefits?

No

22. The following questions are about intake:

	Yes	No
Is your intake centralized?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Do you have a toll free number?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

34. 22a) If yes to either above, is the APS intake line combined with another program's intake (such as CPS or aging services)?

Yes

23. Do you accept reports 24 hours a day?

Yes

36. 23a) If available 24 hours, is the line (check all that apply):

Staffed

37. 23b) If no, what happens to after-hours reports?

38. 23c) Do you respond (go out on) cases 24 hours a day?

Yes

24. What is the shortest timeframe in which APS must initiate a case?

Other (explain): 1 hour if its an emergency

25. Are investigation time frames triaged depending on allegations?

If Yes, describe:: 1 hour if emergency, 24 hours if not

26. Must APS complete investigations within a certain timeframe?

Yes, other

27. Must APS close cases within a specific time frame?

No

28. Is there required regular contact with the victim of an open case?

No

44. 28a) If yes, please check all that apply:

	Daily	Weekly	Monthly
In person	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
By Phone	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

45. 28b) If other, describe:

29. Which of the following services does APS provide to victims: (Direct=via APS personnel; Indirect=via referral to outside services)

	Yes	Yes, Indirect	Yes, both	No
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Developing a case plan				✓
Counseling				✓
Advocacy with other systems		✓		
Money Management				✓
Legal Interventions	✓			
In-home services	✓			
Home Delivered Meals				✓
Medical Services				✓
Placement	✓			
Environmental Cleanup				✓

47. 29a) If indirectly through referrals, does APS continue monitoring the case after the referrals are made?

Describe: If the person is deemed at-risk of abuse and refuses service and signing a safety plan

3. Budget Information

30. From the most recent state fiscal year data, please describe how much money is allocated from each funding source (answer should be dollar amount):

	State Funds	SSBG	OAA	Medicaid (TCM)	County Funds	Department of Justice	Other Local	Other	Information not Available
State	unknown								
County*									
Other (describe)									
Other									
TOTAL									
Amount over or under previous year. Indicate under with a minus sign.									

31. Please provide any additional budgetary information:

Because the budget for child/dependent adult abuse is co-mingled and child abuse receives funding from federal sources, it is impossible to separate them out.

4. Report Information - Statewide Report Totals

32. For the previous year, please provide the number of statewide report totals by age of vulnerable adult if possible; by total numbers if age breakdown is not available.

	Age 60+	Age 18-59	Total
Self-Neglect			770
Physical Abuse			393
Emotional Abuse			

Sexual Abuse			55
Neglect by others			874
Financial abuse			462
Other abuse (describe below)			
Total			2554

33. If other, please describe:

34. Is the total number of statewide reports an increase or decrease from the past 5 years?

51. 34a) If increase, by what percentage (approximately):

52. 34b) If decrease, by what percentage (approximately):

35. Please provide the number of statewide substantiated report totals by age of vulnerable adult if possible; by total numbers if age breakdown is not available.

	Number Substantiated (60+)	Number Substantiated (18-59)	Total
Self-Neglect			253
Physical Abuse			14
Emotional Abuse			
Sexual Abuse			25
Neglect by others			99
Financial abuse			139
Other abuse (describe below)			
Total			

36. If other, please describe:

37. Is the total the number of statewide substantiated report an increase or decrease from the past 5 years?

Increase

56. 37a) If increase, by what percentage (approximately):

10

57. 37b) If decrease, by what percentage (approximately):

38. What is the statewide average caseload (including new and ongoing cases) for Investigators/Caseworkers?

39. Is the statewide average caseload per caseworker/investigators an increase or decrease over the past 5 years?

60. 39a) If increase, by what percentage (approximately):

61. 39b) If decrease, by what percentage (approximately):

40. If the program is state-administered, what is the average ratio (e.g. 1:10) of:

Supervisors to Investigators/Caseworkers : 1 to 7

41. Does your state law mandate reporting of suspected adult abuse to APS?

Yes

64. 41a) If yes, is reporting mandated for:

All vulnerable adults aged 18+

65. 41b) If yes, in your state, who is a mandated reporter?

Social Services

Law Enforcement

Legal/Criminal Justice

Aging services providers

Disability services providers

Government employees

Health care professionals

Other (describe): any one who attends, counsels, examines, or treats a dependent adult

5. Case Level APS Data Collected Statewide

42. Does your state have an automated (computerized) data system for APS?

Yes

43. Does county (or local) case level data feed into the state data system?

Yes

44. Which of the following pieces of data do you collect at the state level (check all that apply)?

Number of reports

Individual allegations

Reporter type (family, neighbor, social worker, etc.)

Victim age

Victim gender

Victim Ethnicity

Victim's residence type (home; senior housing; assisted living; board and care; foster care; nursing home, etc.)

Relationship of victim to abuser

Abuser age

Abuser gender

Abuser relationship to victim

Interventions offered/provided

Days case remains open

Reason for case closure

Client Outcomes

45. Please check all assessment tools used: (check all that apply)

MMSE (Mini-Mental Status Exam)

SLUMS (Saint Louis University mental status examination)

Other (explain): We developed our own assessment tool

46. If automated, what type of data system does your state use?

Built by state personnel

71. 46a) If purchased, from what company?

47. Is the data system APS only or integrated with other systems

APS only

73. 47a) If integrated, is it with:

48. Does the system keep track of all reports/cases involving the same client over time?

Yes

49. How recently did you adopt your automated data system?

3-5 years ago

50. Is your automated data system web based?

Yes

51. Does your automated data system allow for case notes?

Yes

6. Training Information

52. APS-Specific training is required by:

	Investigator/Caseworker	Supervisor
Statute		
State Policy		
Local Policy		
Not Required		
Other (explain):		

53. How much pre-service (new worker) APS-specific training is provided for investigators/caseworkers?

none

54. What type of content is provided in APS-specific training (check all that apply)?

- Policy
- Intake
- Investigations
- Communications/interviewing
- Legal issues
- Aging Process
- Disabilities Information

55. How much in-service (existing staff) training is provided for investigators/caseworkers per year?

Less than one week (number of hours): 10 hours

82. 55a) What content does APS-specific In-services training include (check all that apply)?

- Policy
- Intake
- Investigations
- Data Systems
- Documentation
- Assessing capacity/competency
- Communications/interviewing
- Legal issues
- Aging Process
- Disabilities Information

56. Does your program provide training for APS supervisors?

No

84. 56a) If yes, What content does APS-specific supervisor training include (check all that apply)?

57. How is the majority of your APS training provided?

If more than one method is used to train, please check all the methods that apply (e.g. classroom and online)

	Investigator/Caseworker (Pre-Hire, pre-service)	Investigator/Caseworker (Ongoing, in-service)_	Supervisor
Directly by APS Program Staff		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Via contract with University or other entity*		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
In a classroom			
Online (e-learning)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
On the Job		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Other**			

58. Specify

59. Do you have APS specific/dedicated trainers?

Yes, contractual trainers

60. Is there a certification process?

No

89. 60a) If yes, is certification based on testing?

61. What is the annual training budget?

7. Multidisciplinary Teams

62. Does APS participate on multi-disciplinary teams?

Yes

92. 62a) How many multi-disciplinary teams within the state does APS participate in (estimated)?

5

93. 62b) Are multi-disciplinary teams required by:

State statute

94. 62c) How are multi-disciplinary teams funded?

Not funded

95. 62d) If multi-disciplinary teams receive federal funding, please check all that apply:

96. 62e) What organizations/professionals regularly participate in multi-disciplinary teams?

Law Enforcement

Medical

Mental Health

Other (Describe): Case Managers, Agencies that provide care

97. 62f) What is the purpose of this multi-disciplinary work?

case reviews (financial abuse, for example)

63. Are there elder fatality review teams in place in your state?

Yes

99. 63a) If yes, please provide the locations and contact information for the primary coordinator, if available.

Location : Johnson County Death Review Team
Team Coordinator Name : Michael Hensch
Email : mhensch@co.johnson.ia.us
Phone : 319-339-6197

64. Has your program executed agreements to facilitate cross-county, cross-state or interagency cooperation?

Yes

101. 64a) What form of agreements has your program entered into (check all that apply)?

65. How much of a barrier are confidentiality restrictions to multi-disciplinary and interagency work?

Not a barrier

66. Is APS required to report cases to law enforcement?

Yes

104. 66a) If yes, in which cases do you report to law enforcement?

Cases upon being reported where there is indication of criminal activity

67. Does your state have an APS abuser registry?

Yes, operated by APS

106. 67a) If yes, is the abuser registry required by state statute?

Yes

107. 67b) If yes, is the abuser registry:

- APS only
- Integrated with other registries
- For all abusers (family, paid employees, etc)
- Accessible to other agencies
- Required to be checked by home health care agencies, long term care facilities, etc. before hiring

108. 67c) other registries

CPS
other (describe): sex offender, criminal

109. 67d) What is the annual budget for the registry?

110. 67e) Who can be contacted for more information about the registry?

Name : Linda Chagoya
Email : lchagoya@dhs.state.ia.us
Phone : 515-362-7404

111. 67f) What due process does APS afford the alleged perpetrator and victim?

	Perpetrators	Victims
Notification of allegations		
Notification of substantiation decision		
Right to appeal		

Hearing	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>

112. 67g) If other, please describe:

68. Has your APS program conducted any broad-based, multi-faceted public awareness campaigns (e.g., billboards, public service announcements, etc. – not just program brochures)?

No

114. 68a) If yes, do you have any World Elder Abuse Awareness Day (WEAAD) materials or activities developed?

115. 68b) If yes, please provide contact information for the person we can follow-up with to learn more information:

69. If your state published an annual APS report, please provide a link:

<http://www.dhs.state.ia.us/Partners/Reports/PeriodicReports/Abuse/Adult.html>

8. Open Ended

70. What are the three biggest improvements your APS program has implemented in the past five years?

Safety Plans Periodic Visits required for at-risk adults Web-based data system Assessment Tool

71. What are the three biggest challenges facing APS in your state?

Limeted code - only addresses caregiver abuse growing population of elders decreasing public funds

72. Is there anything you want to tell us about your APS Program which we failed to ask?

Response Location

Region:	United States
Region:	IA
City:	Johnston
Postal Code:	50131
Long & Lat:	Lat: 41.703098, Long:-93.720398